

**Form No. MGT-9**  
**EXTRACT OF ANNUAL RETURN**

**as on the financial year ended on 31st March, 2020**

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies  
(Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

- i. CIN : U74210KA1973PLC002425
- ii. Registration Date : 03.10.1973
- iii. Name of the Company : San Engineering & Locomotive Co Ltd
- iv. Category / Sub-Category of the Company : Manufacturing
- Address of the Registered office and contact details :
- Post Box No 4802, Whitefield Road  
BANGALORE - 560 048  
Tel : 080 4244 9200  
Fax: 080 2845 2260  
Email: comsec@san-engineering.com  
[Website: www.san-engineering.com.](http://www.san-engineering.com)
- vi Whether listed Company : No
- Name, Address and Contact details of Registrar and Transfer Agent, if any
- Canbank Computer Services Ltd  
R & T Centre, 218, J P Royale, 1st Floor  
3rd Main, Sampige Road, Malleswaram  
BANGALORE - 560 004
- Telephone 080 23469661-65  
Fax 080 23469668  
email canbankrta@ccsl.co.in

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/services	NIC Code of the Products/ Services	% to total turnover of the company
1	Locomotives	30201	26%
2	Power Pack & Rail Vehicles	30204	38%
3	Spare Parts	30204	21%
4	Engineering Products	28140	10%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -**

Sl. No.	Name and Address of the Company	CIN/GLN	Holding / Subsidiary / Associate	% Shares	Applicable Section
1	San Rolling Stock Services Ltd	U74999KA2017PLC102366	Subsidiary	100.00%	2(87)(ii)
2	San Motors Ltd	U34103KA1997PLC101338	Subsidiary	99.16%	2(87)(ii)

IV. SHARE HOLDING PATTERN (Equity Share Capital Break-up as percentage of Total Equity)

i) Category-wise Share Holding

Sl No	Category of Shareholders	No. of Shares held at the beginning of the year i.e., 01.04.2019				No. of Shares held at the end of the year i.e., 31.03.2020				% of Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A</b>	<b>Promoters</b>									
<b>1</b>	<b>Indian</b>									
a	Individual / HUF	-	-	0	0.00	-	-	0	0.00	0.000
b	Central Government / State Government	-	-	-	-	-	-	-	-	-
c	Bodies Corporate	1532252	415343	1947595	43.77	1532252	415343	1947595	43.77	0.000
d	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
e	Others - Trust	-	-	-	-	-	-	-	-	-
	<b>Sub Total (A)(1)</b>	<b>1532252</b>	<b>415343</b>	<b>1947595</b>	<b>43.77</b>	<b>1532252</b>	<b>415343</b>	<b>1947595</b>	<b>43.77</b>	<b>0.000</b>
<b>2</b>	<b>Foreign</b>									
a	Individual (Non-Resident individuals /Foreign Individuals)	2006155	295786	2301941	51.73	2006155	295786	2301941	51.73	0.000
b	Bodies Corporate	-	-	-	-	-	-	-	-	-
c	Institutions	-	-	-	-	-	-	-	-	-
d	Qualified foreign investor	-	-	-	-	-	-	-	-	-
e	Others - Trust	-	-	-	-	-	-	-	-	-
	<b>Sub Total (A)(2)</b>	<b>2006155</b>	<b>295786</b>	<b>2301941</b>	<b>51.73</b>	<b>2006155</b>	<b>295786</b>	<b>2301941</b>	<b>51.73</b>	<b>0.000</b>
	<b>Total Shareholding of Promoter and Promote Group (A)</b>	<b>3538407</b>	<b>711129</b>	<b>4249536</b>	<b>95.50</b>	<b>3538407</b>	<b>711129</b>	<b>4249536</b>	<b>95.50</b>	<b>0.000</b>
<b>B</b>	<b>Public Shareholding</b>									
<b>1</b>	<b>Institutions</b>									
a	Mutual Funds / UTI	-	-	-	-	-	-	-	-	-
b	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
c	Central Government / State Government	-	-	-	-	-	-	-	-	-
d	Venture Capital Funds	-	-	-	-	-	-	-	-	-
e	Insurance Companies	-	-	-	-	-	-	-	-	-
f	Foreign Institutional Investors	-	-	-	-	-	-	-	-	-
g	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-
h	Qualified Foreign Investors	-	-	-	-	-	-	-	-	-
i	Foreign Portfolio Investors (Corporate)	-	-	-	-	-	-	-	-	-
j	Any Other (Specify)	-	-	-	-	-	-	-	-	-
	<b>Sub Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>
<b>2</b>	<b>Non-Institutions</b>									
a	Bodies Corporate	16581	0	16581	0.37	20690	0	20690	0.46	0.092
b	Individuals -									
i	Individual shareholder holding nominal share capital up to Rs 1 lakh	153790	30093	183883	4.13	154158	25616	179774	4.04	-0.092
ii	Individual shareholder holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c	Qualified Foreign Investors	-	-	-	-	-	-	-	-	-
d	Any Other	-	-	-	-	-	-	-	-	-
i	Trusts	-	-	-	-	-	-	-	-	-
ii	Foreign Companies	-	-	-	-	-	-	-	-	-
iii	Clearing Members / Clearing House	-	-	-	-	-	-	-	-	-
	<b>Sub Total (B)(2)</b>	<b>170371</b>	<b>30093</b>	<b>200464</b>	<b>4.50</b>	<b>174848</b>	<b>25616</b>	<b>200464</b>	<b>4.50</b>	<b>0.000</b>
	<b>Total Public Shareholding B=(B)(1)+(B)(2)</b>	<b>170371</b>	<b>30093</b>	<b>200464</b>	<b>4.50</b>	<b>174848</b>	<b>25616</b>	<b>200464</b>	<b>4.50</b>	<b>0.000</b>
	<b>TOTAL (A) + (B)</b>	<b>3708778</b>	<b>741222</b>	<b>4450000</b>	<b>100.00</b>	<b>3713255</b>	<b>736745</b>	<b>4450000</b>	<b>100.00</b>	<b>0.000</b>
<b>C</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>3708778</b>	<b>741222</b>	<b>4450000</b>	<b>100.00</b>	<b>3713255</b>	<b>736745</b>	<b>4450000</b>	<b>100.00</b>	<b>0.000</b>

**ii) Shareholding of Promoters**

SI No	Shareholder's Name	No. of Shares held at the beginning of the year i.e., 01.04.2019			No. of Shares held at the end of the year i.e., 31.03.2020			% of Change during the year
		No of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1	Milind S Thakker	1855284	41.69	-	1855284	41.69	-	0.00
2	Anjana M Thakker	150871	3.39	-	150871	3.39	-	0.00
3	Karan M Thakker	147893	3.32	-	147893	3.32	-	0.00
4	Arjun M Thakker	147893	3.32	-	147893	3.32	-	0.00
5	Sonmil Industries Pvt Ltd	1532252	34.43	-	1532252	34.43	-	0.00
6	Importex International Pvt Ltd	415343	9.33	-	415343	9.33	-	0.00

**iii) Change in Promoters' Shareholding (please specify, if there is no change)**

There is no change in the shareholdings of the promoters.

**iv) Shareholding pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs & ADRs)**

SI No	Shareholder's Name	Shareholding at the beginning of the year 01.04.2019		Transactions during the year		Cumulative Shareholding during the year 31.03.2020	
		No of Shares	% of total Shares of the Company	Date of Transfer	No of Shares	No of Shares	% of total Shares of the Company
1	Investor Education & Protection Fund	9368	0.21			9368	0.21
	Transfer				2390	11758	0.26
	At the end of the year					11758	0.26
2	Chandrakala	8827	0.20			8827	0.20
3	Govindbhai Baldev Desai	8454	0.19			8454	0.19
4	Pinakin Chimanlal Shah	6304	0.14			6304	0.14
5	Hanuman Share & Stock Broker	5535	0.12			5535	0.12
6	Sunil Kumar gohel	4310	0.10			4310	0.10
7	Sharad Kanayalala Shah	3574	0.08			3574	0.08
8	Minal Mangaldas Vora	2695	0.06			2695	0.06
9	Ananth Bhat K	2611	0.06			2611	0.06
10	Chandrakala Mehta *	2113	0.05			2751	0.06

\* Since the shares are transferred in demat form date wise increase in shareholding is not available.

v) *Shareholding of Directors and Key Managerial Personnel*

SI No	Shareholder's Name	Shareholding at the beginning of the year 01.04.2019		Cumulative Shareholding during the year 31.03.2020	
		No of Shares	% of total Shares of the Company	No of Shares	% of total Shares of the Company
1	Milind S Thakker	1855284	41.692	1855284	41.692
4	Kishore Govinde	1561	0.035	1561	0.035

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding / accrued but not due for payment

(Rs / Lakhs)

Particulars of Remuneration	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i. Principal Amount	472.84	-	-	472.84
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>472.84</b>	-	-	<b>472.84</b>
<b>Change in indebtedness during the financial year</b>				
Additional	-	-	-	-
Reduction	161.41	-	-	161.41
Net Change	(161.41)	-	-	(161.41)
<b>Indebtedness at the end of the financial year</b>				
i. Principal Amount	311.43	-	-	311.40
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>311.43</b>	-	-	<b>311.43</b>

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. *Remuneration to Managing Director, whole-time Directors and / or Manager;*

SI. No.	Particulars of Remuneration	Name of the MD/WTD/Manager
		<b>Mr. Ramanathan Narayanan, Managing Director</b>
1	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	80.64
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	4.98
	(c) Profits in lieu of salary under Section 17(3) of the Income Tax Act, 1961	-
2	Stock Options	-
3	Sweat Equity	-
4	Commission	-
5	Others, Allowances	31.40
	Total (A)	<b>117.02</b>

Appointed as Managing Director during the financial year 2019-20.

**B. Remuneration of other directors**

(Rs / lakhs)

Sl. No.	Names of Directors	Fee for attending board / committee meetings	Commission	Others	Total Amount
<b>1</b>	<b>Independent Directors</b>				
	Inder Mahadevan	1.05	-	-	1.05
	Jasjiv Sahney	1.35	-	-	1.35
	Apparao Mallavarapu	1.05	-	-	1.05
	Udayant Malhoutra	0.75	-	-	0.75
	<b>Total (1)</b>	<b>4.20</b>	<b>-</b>	<b>-</b>	<b>4.20</b>
<b>2</b>	<b>Other Non-Executive Directors</b>				
	Milind S Thakker	1.05	-	-	1.05
	<b>Total (2)</b>	<b>1.05</b>	<b>-</b>	<b>-</b>	<b>1.05</b>
	Total Managerial Remuneration (1+2)	<b>5.25</b>	<b>-</b>	<b>-</b>	<b>5.25</b>
	Ceiling as per the Act (@ 1% of profits calculated under Section 198 of the Companies Act, 2013)				18.64

**C. Remuneration to Key Managerial Personal other than Managing Director / Whole-time Director / Manager**

(Rs/lakhs)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel
		<b>Mr. Kishore Govinde, Company Secretary</b>
1	Gross Salary	
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	16.53
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0.88
	(c) Profits in lieu of salary under Section 17(3) of the Income Tax Act, 1961	-
2	Stock Options	-
3	Sweat Equity	-
4	Commission	-
5	Others, Allowances	15.14
	<b>Total (A)</b>	<b>32.55</b>

**VII PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:**

There was no penalties, punishment or compounding of offences during the year ended March 31, 2020